

# Customer Questionnaire for Natural Persons



Dear Customer!

In order to comply with the legal acts regarding the prevention of money laundering and the combating of terrorist financing and other legal acts applicable to the "Swedbank", AB, we kindly ask you to complete this questionnaire. Completely filled questionnaire is a mandatory precondition for receiving the services of the "Swedbank", AB. The requested information contains personal data and we will process such personal data in accordance with Principles of processing Personal data, approved by "Swedbank", AB available in a Swedbank branch or on [www.swedbank.it](http://www.swedbank.it).

BASIC INFORMATION				
Name		Surname	Date of birth	
Personal ID		Country of birth	Citizenship (please indicate all your citizenships)	
ID DOCUMENT (please indicate all your ID documents)				
Document type	Document issuing country	Document number	Issue date	Date of expiry
CONTACT INFORMATION				
Contact phone 1 (including country code)			Contact phone 2 (including country code)	
E-mail				
RESIDENCY (PERMANENT LIVING) ADDRESS				
Country		County	City	
Municipality		Street, house, apartment No.	Post code	
CORRESPONDENCE ADDRESS (fill if it is different than residency address)				
Country		County	City	
Municipality		Street, house, apartment No.	Post code	
TAX RESIDENCE INFORMATION (please indicate all your tax residence countries and tax ID numbers)				
Tax residence country			Tax identification number (TIN)	

**CONNECTION TO LITHUANIA AND THE REASON OF HAVING AN ACCOUNT (only non-resident)****What is your connection to Lithuania?**

<input type="checkbox"/> I'm employed by local company	Company name:
<input type="checkbox"/> I have connected by ownership or management of local company	Company name: Role (owner, management body member): Shares (%):
<input type="checkbox"/> I'm student in local educational establishment	Name of educational establishment:
<input type="checkbox"/> I have family relationship in Lithuania	Family member name:
<input type="checkbox"/> I own property in Lithuania	
Type of the property:	County:
Street, house, apartment No.:	Municipality:
City:	Postal code:
<input type="checkbox"/> I have other reason for having account (please specify reason)	

**Documentary evidence approving connection to Lithuania**

<input type="checkbox"/> Document proving employment in local country	<input type="checkbox"/> Document proving ownership of property
<input type="checkbox"/> Document proving connection to educational establishment	<input type="checkbox"/> Other document
<input type="checkbox"/> Marriage certificate or other document proving family relationships	

**REPRESENTATIVE (please fill only if relevant)**

Name	Surname	Personal ID
Date of birth	Representative's role	Expiry date
Representation basis/right of representation	Description	

**INCOME/ASSETS**

**Do you plan to transfer funds to Swedbank from other financial institution within 3 months from account opening?** If "Yes", please provide additional information  Yes  No

Amount in EUR  up to 15 000  more than 15 000

Origin of funds/source of wealth\*

<input type="checkbox"/> Savings	<input type="checkbox"/> Investments	<input type="checkbox"/> Inheritance
<input type="checkbox"/> Proceeds of sale of real estate	<input type="checkbox"/> Proceeds of sale of company	<input type="checkbox"/> Other _____

Country from which money will be transferred\* \_\_\_\_\_

\*Need to fill only if amount is more than EUR 15 000

**Do you plan to transfer securities to Swedbank from other financial institution within 3 months from account opening?** If "Yes", please provide additional information  Yes  No

Securities  Shares  Bonds  Funds

Value in EUR  up to 15 000  more than 15 000

Origin of funds/source of wealth\*

<input type="checkbox"/> Savings	<input type="checkbox"/> Investments	<input type="checkbox"/> Inheritance
<input type="checkbox"/> Proceeds of sale of real estate	<input type="checkbox"/> Proceeds of sale of company	<input type="checkbox"/> Other _____

Country from which securities will be transferred\* \_\_\_\_\_

\*Need to fill only if value of securities is more than EUR 15 000

**Please specify average monthly amount to be transferred to Swedbank account and sources of money**

Amount in EUR  up to 1 000  from 1 001 to 5 000  from 5 001 to 15 000  more than 15 000

Source of monthly income\*

<input type="checkbox"/> Salary/pension/social benefit	<input type="checkbox"/> Income for renting out property	<input type="checkbox"/> Income from transactions in financial instruments
<input type="checkbox"/> Transfers from relatives	<input type="checkbox"/> Income from dividends	<input type="checkbox"/> Other type of income _____

Estimated value of all my assets is EUR \_\_\_\_\_\*

\*Need to fill only if amount is more than EUR 15 000

What is the source and average amount of your overall (including income received outside Swedbank accounts) monthly income (specify amount in EUR)?

- Salary/pension/social benefit  
 up to 1 000       from 1 001 to 5 000       from 5 001 to 15 000       more than 15 000
- Income from dividends  
 up to 1 000       from 1 001 to 5 000       from 5 001 to 15 000       more than 15 000
- Income for renting out property  
 up to 1 000       from 1 001 to 5 000       from 5 001 to 15 000       more than 15 000
- Income from transactions in financial instruments  
 up to 1 000       from 1 001 to 5 000       from 5 001 to 15 000       more than 15 000
- Transfers from relatives  
 up to 1 000       from 1 001 to 5 000       from 5 001 to 15 000       more than 15 000
- Other income  
 up to 1 000       from 1 001 to 5 000       from 5 001 to 15 000       more than 15 000

Please specify the source of other income, if more than EUR 15 000 \_\_\_\_\_

**NATURE AND PURPOSE OF THE RELATIONSHIP WITH THE BANK**

What kind of payments you expect to receive in your account(s) and what is the approximate amount per month (specify amount in EUR)?

- Domestic payments  
 up to 5 000     from 5 001 to 15 000     from 15 001 to 50 000     from 50 001 to 150 000     more than 150 000
- Foreign payments  
 up to 5 000     from 5 001 to 15 000     from 15 001 to 50 000     from 50 001 to 150 000     more than 150 000

Frequency of foreign payments (if amount more than EUR 15 000)     up to 3 per year     more than 3 per year  
 Countries from which payments will be received (max top 5)

Please specify reason for receiving foreign payments \_\_\_\_\_

- Cash deposit  
 up to 15 000     more than 15 000 (please specify the most frequent origin of cash deposit) \_\_\_\_\_

What kind of payments you expect to transfer from your account(s) and what is the approximate amount per month (specify amount in EUR)?

- Domestic payments  
 up to 5 000     from 5 001 to 15 000     from 15 001 to 50 000     from 50 001 to 150 000     more than 150 000
- Foreign payments  
 up to 5 000     from 5 001 to 15 000     from 15 001 to 50 000     from 50 001 to 150 000     more than 150 000

Frequency of foreign payments (if amount more than EUR 15 000)     up to 3 per year     more than 3 per year  
 Countries to which payments will be transferred (max top 5)

Please specify reason for making foreign payments \_\_\_\_\_

- Cash withdraw  
 up to 15 000     more than 15 000 (please specify the most frequent purpose of cash withdraw) \_\_\_\_\_

**BUSINESS ACTIVITIES (only for self-employed)**

Field of business (please specify) \_\_\_\_\_

What is average bank turnover planned per month from your business activities in Swedbank?

Amount in EUR     up to 1 000     from 1 001 to 5 000     from 5 001 to 15 000     more than 15 000

**POLITICALLY EXPOSED PERSON'S (PEP) & CLOSE ASSOCIATE TO PEP (RCA) DETAILS**

Please indicate and fill in corresponding fields in case you are PEP and/or close family member/close associate to PEP. Information about PEP and RCA can be found in [www.swedbank.lt](http://www.swedbank.lt) or in Branch.

PEP

Position \_\_\_\_\_  
 Actual job title \_\_\_\_\_  
 Institution \_\_\_\_\_  
 End date of the position (indicate month and year) \_\_\_\_\_  
 Country \_\_\_\_\_

Close family member/close associate (related person) to PEP

Name of PEP \_\_\_\_\_  
 Surname of PEP \_\_\_\_\_  
 Personal ID / Date of birth of PEP \_\_\_\_\_  
 Country where person is PEP \_\_\_\_\_  
 Relationship nature to PEP \_\_\_\_\_

Name of PEP	
Surname of PEP	
Personal ID / Date of birth of PEP	
Country where person is PEP	
Relationship nature to PEP	
Name of PEP	
Surname of PEP	
Personal ID / Date of birth of PEP	
Country where person is PEP	
Relationship nature to PEP	
Name of PEP	
Surname of PEP	
Personal ID / Date of birth of PEP	
Country where person is PEP	
Relationship nature to PEP	
Name of PEP	
Surname of PEP	
Personal ID / Date of birth of PEP	
Country where person is PEP	
Relationship nature to PEP	

**ADDITIONAL DATA**

Position held

- Salaried employee:  top manager  middle manager  superior specialist  specialist/office worker  worker  
 Student  Pupil  Retired person  Unemployed  At home  Company owner, shareholder  
 Other (please specify) \_\_\_\_\_

Field of activity\*

- |   |  |
|---|--|
| <input type="radio"/> Agriculture, forestry and fishing (hunting)   | <input type="radio"/> Real estate activities   |
| <input type="radio"/> Construction  | <input type="radio"/> Information technology   |
| <input type="radio"/> Trade and personal services (wholesale and retail trade, accommodation and food service activities) | <input type="radio"/> Professional, scientific and technical activities (including legal aid, auditing, marketing, etc.) |
| <input type="radio"/> Transportation, storage, information and communication (postal and telecommunication)               | <input type="radio"/> Public health and social welfare activities  |
| <input type="radio"/> Financial and Insurance activities  | <input type="radio"/> Industry, energetics, water supply, waste management and remediation activities                    |
| <input type="radio"/> Education, culture, arts, sports, entertainment and recreation                                      | <input type="radio"/> Public administration and national defence   |

Length of employment\*  trial period  up to 1 year  up to 2 years  up to 3 years  up to 4 years  up to 5 years  more than 5 years

Education\*  higher  secondary  primary  vocational  college

\*Please provide/update Additional data only if you:

- have financial obligations in Swedbank, AB (hereinafter – Bank) in order for the Bank to be able to execute obligation to constantly update information about financial/economic condition of Bank's customer as indicated in legislation; or
- want the Bank to present an offer regarding the credit of a specific amount. Regardless of whether or not you provide this information, we assure you the Bank will present you with offers only if you have previously expressed a consent regarding direct marketing.

By signing this questionnaire I confirm that the information provided in this questionnaire is true, complete and accurate and undertake to immediately notify the Bank in writing of any change in the information provided by me in this questionnaire. I confirm that I am the owner of the funds held in the accounts opened in my name, as well as that I am not planning to perform any transactions on behalf of the third persons and/or to hold third persons' funds in the accounts opened in my name. I undertake to immediately notify the Bank in writing of any intentions to perform transactions on behalf of the third persons and/or to hold third persons' funds in the accounts opened in my name.

Name, surname of the Customer	Position, name, surname and signature of the Bank's employee
Signature of the Customer	
Date	Date